# **Introduced by Assembly Member Beall**

February 21, 2008

An act to amend Sections 7570, 7572, 7585, and 7587 of, and to add Sections 7574, 7589, and 7590 to, the Government Code, and to amend Sections 4512, 4629, 4646, 4646.5, and 4689 of, and to add Chapter 14 (commencing with Section 4868) to Division 4.5 of, the Welfare and Institutions Code, relating to persons with disabilities.

#### LEGISLATIVE COUNSEL'S DIGEST

AB 2424, as introduced, Beall. Persons with developmental disabilities: education and employment.

Existing law contains provisions, the responsibility of which is vested jointly in the Superintendent of Public Instruction and the Secretary of California Health and Human Services, for ensuring the maximum utilization of all state and federal resources available to provide a child with a disability a free and appropriate education, as well as to provide the child related services and designated instruction and services, as those terms are defined.

This bill would provide that these provisions are intended both for maximum utilization and coordination of state and federal resources for the purposes specified above.

Under existing law, the special education services to be provided to a child are determined pursuant to an individualized education program (IEP), developed by an IEP team. Under existing law, services and supports to persons with developmental disabilities, including children, are determined pursuant to an individual program plan (IPP), with certain services provided by school districts and other services and

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supports provided or purchased by private nonprofit regional centers that contract with the State Department of Developmental Services.

Under existing law, a child with a disability is to be assessed in all areas related to the suspected disability before any action is taken with respect to the provision of related services or designated instruction and services, including other specified types of services.

This bill would add transition services to those services specified in these provisions. It would, however, specify that the bill does not prevent transition services from being added to the child's IEP or IPP even if a formal assessment has not been conducted.

The bill would, commencing July 1, 2009, require that each student with an IEP or IPP participate in a transitional services planning process, as prescribed, to facilitate movement from school to postschool activities, and would require the Superintendent of Public Instruction and the Secretary of California Health and Human Services to enter into an interagency agreement to implement these provisions. It would also require, commencing July 1, 2009, that the Superintendent and the Director of Developmental Services, or their designees, prepare and implement, with existing resources, a plan for inservice training of state and local personnel responsible for implementing the transition services provisions.

Existing law, the Lanterman Developmental Disabilities Services Act, grants persons with developmental disabilities the right to receive services and supports to meet their needs. Existing law requires that the State Department of Development Services contract with private nonprofit corporations for the operation of regional centers to obtain services and supports for an individual with a developmental disability in accordance with his or her IPP.

This bill would require a regional center to provide the consumer, and where appropriate, his or her parents, legal guardian, or conservator, and to the consumer's service provider, with a copy of his or her IPP within 15 calendar days of the consumer's IPP meeting.

This bill would create an assumption that individuals would prefer to live, work, and participate in inclusive and integrated settings and are entitled to do so.

This bill would also establish the Employment First Policy which includes planning for integrated employment opportunities for persons with developmental disabilities through the individual program plan process. The bill would require the department, regional centers, and IPP teams to take various actions as part of the IPP planning process

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to implement this policy, including specific program requirements for persons transitioning from school and for persons of working adult age. The department would also be required to convene a taskforce and a consumer advisory committee to make recommendations and take other prescribed actions concerning the Employment First Policy. It would also require the consumer advisory committee and the department to report to the Legislature and the Governor, as prescribed, on the Employment First Policy.

Under existing law, the department is required to periodically review the individual program planning process and the contracts of the regional centers for compliance with their obligations.

This bill would add requirements to the contract review process and to the individual program plan review process relating to the provision of information, supported living services, and integrated employment and community participation opportunities.

Existing law establishes an independent State Council on Developmental Disabilities.

This bill would require the state council to establish a business advisory committee to gather information on and report to the Legislature and the Governor annually beginning January 1, 2010, on the Employment First Policy.

Existing law establishes the Program Development Fund, into which parental fees for services and supports and other moneys are deposited, and that may be used by the department to create new programs and expand existing programs.

This bill would create the Employment First Policy Startup Fund, to be used by the department, upon appropriation by the Legislature, commencing with the 2009–10 fiscal year and ending with the 2013–14 fiscal year, for startup costs related to the policy, and would permit Program Development Fund moneys to be used for this purpose.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

The people of the State of California do enact as follows:

- SECTION 1. Section 7570 of the Government Code is amended to read:
- 3 7570. Ensuring maximum utilization and coordination of all
- 4 state and federal resources available to provide a child with a
- 5 disability, as defined in Section 1401(3) of Title 20 of the United

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States Code, with a free appropriate public education, the provision of related services, as defined in Section 1401(26) of Title 20 of the United States Code, and designated instruction and services, as defined in Section 56363 of the Education Code, to a child with a disability, shall be the joint responsibility of the Superintendent of Public Instruction and the Secretary of-the California Health and Human Services-Agency. The Superintendent of Public Instruction shall ensure that this chapter is carried out through monitoring and supervision.

SEC. 2. Section 7572 of the Government Code is amended to read:

- 7572. (a) A child shall be assessed in all areas related to the suspected disability by those qualified to make a determination of the child's need for the service before any action is taken with respect to the provision of related services or designated instruction and services to a child, including, but not limited to, services in the areas of, occupational therapy, physical therapy, transition services as defined in subdivision (a) of Section 56345.1 of the Education Code, psychotherapy, and other mental health assessments. All assessments required or conducted pursuant to this section shall be governed by the assessment procedures contained in Article 2 (commencing with Section 56320) of Chapter 4 of Part 30 of the Education Code.
- (b) Occupational therapy and physical therapy assessments shall be conducted by qualified medical personnel as specified in regulations developed by the State Department of Health *Care* Services in consultation with the State Department of Education.
- (c) Psychotherapy and other mental health assessments shall be conducted by qualified mental health professionals as specified in regulations developed by the State Department of Mental Health, in consultation with the State Department of Education, pursuant to this chapter.
- (d) A related service or designated instruction and service shall only be added to the child's individualized education program by the individualized education program team, as described in Part 30 (commencing with Section 56000) of the Education Code, if a formal assessment has been conducted pursuant to this section, and a qualified person conducting the assessment recommended the service in order for the child to benefit from special education. In no case shall the inclusion of necessary related services in a

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pupil's individualized education—plan program be contingent upon identifying the funding source. Nothing in this section shall prevent a parent from obtaining an independent assessment in accordance with subdivision (b) of Section 56329 of the Education Code, which shall be considered by the individualized education program team. Nothing in this subdivision shall prevent transition services from being added to the child's individualized education program or individual program plan, even if a formal assessment has not been conducted pursuant to this section.

- (1) Whenever an assessment has been conducted pursuant to subdivision (b) or (c), the recommendation of the person who conducted the assessment shall be reviewed and discussed with the parent and with appropriate members of the individualized education program team prior to the meeting of the individualized education program team. When the proposed recommendation of the person has been discussed with the parent and there is disagreement on the recommendation pertaining to the related service, the parent shall be notified in writing and may require the person who conducted the assessment to attend the individualized education program team meeting to discuss the recommendation. The person who conducted the assessment shall attend the individualized education program team meeting if requested. Following this discussion and review, the recommendation of the person who conducted the assessment shall be the recommendation of the individualized education program team members who are attending on behalf of the local educational agency.
- (2) If an independent assessment for the provision of related services or designated instruction and services is submitted to the individualized education program team, review of that assessment shall be conducted by the person specified in subdivisions (b) and (c). The recommendation of the person who reviewed the independent assessment shall be reviewed and discussed with the parent and with appropriate members of the individualized education program team prior to the meeting of the individualized education program team. The parent shall be notified in writing and may request the person who reviewed the independent assessment to attend the individualized education program team meeting to discuss the recommendation. The person who reviewed the independent assessment shall attend the individualized education program team meeting if requested. Following this

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review and discussion, the recommendation of the person who reviewed the independent assessment shall be the recommendation of the individualized education program team members who are attending on behalf of the local agency.

- (3) Any disputes between the parent and team members representing the public agencies regarding a recommendation made in accordance with paragraphs (1) and (2) shall be resolved pursuant to Chapter 5 (commencing with Section 56500) of Part 30 of the Education Code.
- (e) Whenever a related service or designated instruction and service specified in subdivision (b) or (c) is to be considered for inclusion in the child's individualized educational program, the local education agency shall invite the responsible public agency representative to meet with the individualized education program team to determine the need for the service and participate in developing the individualized education program. If the responsible public agency representative cannot meet with the individualized education program team, then the representative shall provide written information concerning the need for the service pursuant to subdivision (d). Conference calls, together with written recommendations, are acceptable forms of participation. If the responsible public agency representative will not be available to participate in the individualized education program meeting, the local educational agency shall ensure that a qualified substitute is available to explain and interpret the evaluation pursuant to subdivision (d) of Section 56341 of the Education Code. A copy of the information shall be provided by the responsible public agency to the parents or any adult pupil for whom no guardian or conservator has been appointed.
- SEC. 3. Section  $7\overline{574}$  is added to the Government Code, to read:
- 7574. (a) All individuals with developmental disabilities who are regional center consumers and who also have exceptional needs, as defined in Section 56026 of the Education Code, shall participate in a transition services planning process beginning not later than the first individualized education program to be in effect when the individual is 16 years of age, and updated at least annually thereafter.
- (b) The transition process shall be designed as a coordinated approach that will include appropriate, measurable transition goals

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and descriptions of the transition services needed to assist the individual in reaching those goals. These goals shall be included in the individualized education program and, when applicable, referenced in his or her individual program plan and in any individualized plans required by other public agencies.

- (c) The program team for the transition services planning process shall include, but not be limited to, all of the following:
- (1) The consumer and family, advocates, and others invited by the consumer.
  - (2) The consumer's regional center service coordinator.
  - (3) The consumer's special education teacher or their designee.
- (4) A representative from the Department of Rehabilitation, as appropriate.
- (5) Representatives from the appropriate special education local planning area or school district and postsecondary education agency, as appropriate.
- (6) Representatives of any other public agencies likely to be responsible for providing transition or employment services.
- (d) Transition services planning shall be conducted in a manner consistent with paragraph (8) of subdivision (a) of Section 56345 and Section 56345.1 of the Education Code.
- (e) The team shall focus on, and the plan shall include, ways to improve the academic and functional achievement of the individual to facilitate movement from school to postschool activities, including postsecondary education, vocational training, continuing and adult education, adult services, supportive or independent living, and integrated gainful employment, including supported employment.
- (f) The program team shall also consider the following integrated options in creating the plan:
- (1) Employment, such as microenterprise, individually owned businesses, supported and customized employment, and the effects of work on benefits.
  - (2) Social recreation.

- (3) Volunteer opportunities.
- (4) Community participation including instruction, related services, community experiences, the development of employment and other postschool adult living objectives, and, if appropriate, acquisition of daily living skills and provision of a functional vocational evaluation.

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1 (5) Living arrangements, including supported living.

- 2 (6) Rehabilitation counseling services.
  - (7) Assessment, training, and services available through a local
- Workability project, as set out in subdivision (d) of Section 56471
  of the Education Code.
  - (8) Other postsecondary opportunities.
  - (9) Transportation planning.
  - (10) Any other related services and supports required to implement individualized transition outcomes.
  - (g) The plan shall be based upon the individual's needs, taking into account his or her strengths, preferences, and interests.
    - (h) This section shall become operative on July 1, 2009.
  - SEC. 4. Section 7585 of the Government Code is amended to read:
  - 7585. (a) Whenever any department or any local agency designated by that department fails to provide a related service or designated instruction and service required pursuant to Section 7574, 7575, or 7576, and specified in the child's individualized education program, the parent, adult pupil, or any local educational agency referred to in this chapter, shall submit a written notification of the failure to provide the service to the Superintendent of Public Instruction or the Secretary of the California Health and Human Services Agency.
  - (b) When either the Superintendent of Public Instruction or the Secretary of the California Health and Human Services Agency receives a written notification of the failure to provide a service as specified in subdivision (a), a copy shall immediately be transmitted to the other party. The Superintendent of Public Instruction, or his or her designee, and the secretary, or his or her designee, shall meet to resolve the issue within 15 calendar days of receipt of the notification. A written copy of the meeting resolution shall be mailed to the parent, the local educational agency, and affected departments, within 10 days of the meeting.
  - (c) If the issue cannot be resolved within 15 calendar days to the satisfaction of the superintendent and the secretary, they shall jointly submit the issue in writing to the Director of the Office of Administrative Hearings, or his or her designee, in the State Department of General Services.
- 39 (d) The Director of the Office of Administrative Hearings, or 40 his or her designee, shall review the issue and submit his or her

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findings in the case to the superintendent and the secretary within 30 calendar days of receipt of the case. The decision of the Director of the Office of Administrative Hearings, or his or her designee, shall be binding on the departments and their designated agencies who are parties to the dispute.

- (e) If the meeting, conducted pursuant to subdivision (b), fails to resolve the issue to the satisfaction of the parent or local educational agency, either party may appeal to the Director of the Office of Administrative Hearings, whose decision shall be the final administrative determination and binding on all parties.
- (f) Whenever notification is filed pursuant to subdivision (a), the pupil affected by the dispute shall be provided with the appropriate related service or designated instruction and service pending resolution of the dispute, if the pupil had been receiving the service. The Superintendent of Public Instruction and the Secretary of the California Health and Human Services Agency shall ensure that funds are available for the provision of the service pending resolution of the issue pursuant to subdivision (e).
- (g) Nothing in this section prevents a parent or adult pupil from filing for a due process hearing under Section 7586.
- (h) The contract between the State Department of Education and the Office of Administrative Hearings for conducting due process hearings shall include payment for services rendered by the Office of Administrative Hearings which are required by this section.
- SEC. 5. Section 7587 of the Government Code is amended to read:
- 7587. By January 1, 1986, each state department named in this chapter shall develop regulations, as necessary, for the department or designated local agency to implement this act. All regulations shall be reviewed by the Superintendent of Public Instruction prior to filing with the Office of Administrative Law, in order to ensure consistency with federal and state laws and regulations governing the education of disabled children. The directors of each department shall adopt all regulations pursuant to this section as emergency regulations in accordance with Chapter 3.5 (commencing with Section 11340) of Part 1 of Division 3 of Title 2. For the purpose of the Administrative Procedure Act, the adoption of the regulations shall be deemed to be an emergency and necessary for the immediate preservation of the public peace, health and safety, or

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general welfare. These regulations shall not be subject to the review and approval of the Office of Administrative Law and shall not be 3 subject to automatic repeal until the final regulations take effect 4 on or before June 30, 1997 2009, and the final regulations shall become effective immediately upon filing with the Secretary of State. Regulations adopted pursuant to this section shall be 6 7 developed with the maximum feasible opportunity for public 8 participation and comments.

SEC. 6. Section 7589 is added to the Government Code, to 10 read:

- 7589. (a) The Superintendent of Public Instruction and the Secretary of California Health and Human Services shall ensure that the State Department of Education and the State Department of Developmental Services enter into an interagency agreement for implementation of Section 7574 no later than June 30, 2009, that shall be effective commencing July 1, 2009. The agreement shall include, but not be limited to, procedures for ongoing joint training, technical assistance for state and local personnel responsible for implementing Section 7574, protocols for monitoring service delivery, and a system for compiling data on program operations.
- (b) It is the intent of the Legislature that the designated local agencies of the State Department of Education and the State Department of Developmental Services update their interagency agreements for services specified in this chapter at the earliest possible time. It is the intent of the Legislature that the state and local interagency agreements be updated at least every three years or earlier, as necessary.
- SEC. 7. Section 7590 is added to the Government Code, to 29 30 read:
- 7590. (a) The Superintendent of Public Instruction and the 32 Director of Developmental Services, or their designees, shall jointly 33 prepare and implement, with existing resources, a plan for inservice 34 training of state and local personnel responsible for implementing 35 Section 7574.
- 36 (b) This section shall become operative on July 1, 2009.
- SEC. 8. Section 4512 of the Welfare and Institutions Code is 37 38 amended to read:
- 39 4512. As used in this division:

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(a) "Developmental disability" means a disability that originates before an individual attains—age 18 years of age, continues, or can be expected to continue, indefinitely, and constitutes a substantial disability for that individual. As defined by the Director of Developmental Services, in consultation with the Superintendent of Public Instruction, this term shall include mental retardation, cerebral palsy, epilepsy, and autism. This term shall also include disabling conditions found to be closely related to mental retardation or to require treatment similar to that required for individuals with mental retardation, but shall not include other handicapping conditions that are solely physical in nature.

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(b) "Services and supports for persons with developmental disabilities" means specialized services and supports or special adaptations of generic services and supports directed toward the alleviation of a developmental disability or toward the social, personal, physical, or economic habilitation or rehabilitation of an individual with a developmental disability, or toward the achievement and maintenance of independent, productive, normal lives. The determination of which services and supports are necessary for each consumer shall be made through the individual program plan process. The determination shall be made on the basis of the needs and preferences of the consumer or, when appropriate, the consumer's family, and shall include consideration of a range of service options proposed by individual program plan participants, the effectiveness of each option in meeting the goals stated in the individual program plan, and the cost-effectiveness of each option. Services and supports listed in the individual program plan may include, but are not limited to, diagnosis, evaluation, treatment, personal care, day care, domiciliary care, special living arrangements, physical, occupational, and speech therapy, training, education, supported and sheltered employment, mental health services, recreation, counseling of the individual with a developmental disability and of his or her family, protective and other social and sociolegal services, information and referral services, follow-along services, adaptive equipment and supplies, advocacy assistance, including self-advocacy training, facilitation and peer advocates, assessment, assistance in locating a home, child care, behavior training and behavior modification programs, camping, community integration services, community support, daily living skills training, emergency and crisis intervention,

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facilitating circles of support, habilitation, homemaker services, infant stimulation programs, paid roommates, paid neighbors, respite, short-term out-of-home care, social skills training, specialized medical and dental care, supported living arrangements, technical and financial assistance, travel training, training for parents of children with developmental disabilities, training for developmental disabilities, with vouchers. transportation services necessary to ensure delivery of services to persons with developmental disabilities. Nothing in this subdivision is intended to expand or authorize a new or different service or support for any consumer unless that service or support is contained in his or her individual program plan.

- (c) Notwithstanding subdivisions (a) and (b), for any organization or agency receiving federal financial participation under the federal Developmental Disabilities Assistance and Bill of Rights Act, as amended "developmental disability" and "services for persons with developmental disabilities" means the terms as defined in the federal act to the extent required by federal law.
- (d) "Consumer" means a person who has a disability that meets the definition of developmental disability set forth in subdivision (a).
- (e) "Natural supports" means personal associations and relationships typically developed in the community that enhance the quality and security of life for people, including, but not limited to, family relationships, friendships reflecting the diversity of the neighborhood and the community, associations with fellow students or employees in regular classrooms and workplaces, and associations developed through participation in clubs, organizations, and other civic activities.
- (f) "Circle of support" means a committed group of community members, who may include family members, meeting regularly with an individual with developmental disabilities in order to share experiences, promote autonomy and community involvement, and assist the individual in establishing and maintaining natural supports. A circle of support generally includes a plurality of members who neither provide nor receive services or supports for persons with developmental disabilities and who do not receive payment for participation in the circle of support.
- (g) "Facilitation" means the use of modified or adapted materials, special instructions, equipment, or personal assistance

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by an individual, such as assistance with communications, that will enable a consumer to understand and participate to the maximum extent possible in the decisions and choices that effect his or her life.

- (h) "Family support services" means services and supports that are provided to a child with developmental disabilities or his or her family and that contribute to the ability of the family to reside together.
- (i) "Voucher" means any authorized alternative form of service delivery in which the consumer or family member is provided with a payment, coupon, chit, or other form of authorization that enables the consumer or family member to choose his or her own service provider.
- (j) "Planning team" means the individual with developmental disabilities, the parents or legally appointed guardian of a minor consumer or the legally appointed conservator of an adult consumer, the authorized representative, including those appointed pursuant to subdivision (d) of Section 4548 and subdivision (e) of Section 4705, one or more regional center representatives, including the designated regional center service coordinator pursuant to subdivision (b) of Section 4640.7, any individual, including a service provider, invited by the consumer, the parents or legally appointed guardian of a minor consumer or the legally appointed conservator of an adult consumer, or the authorized representative, including those appointed pursuant to subdivision (d) of Section 4548 and subdivision (e) of Section 4705.
- (k) "Stakeholder organizations" means statewide organizations representing the interests of consumers, family members, service providers, and statewide advocacy organizations.
- (*l*) "Substantial disability" means the existence of significant functional limitations in three or more of the following areas of major life activity, as determined by a regional center, and as appropriate to the age of the person:
- (1) Self-care.
- 35 (2) Receptive and expressive language.
- 36 (3) Learning.

- 37 (4) Mobility.
- 38 (5) Self-direction.
- 39 (6) Capacity for independent living.
- 40 (7) Economic self-sufficiency.

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Any reassessment of substantial disability for purposes of continuing eligibility shall utilize the same criteria under which the individual was originally made eligible.

- (m) "Community participation" means access to and use of local community resources and activities, based on personal choice and preference, that includes regular interaction with fellow residents who do not have disabilities.
- (n) "Integrated gainful employment" means working in a typical job in the community, in a workplace that includes employees without disabilities, and where wages and other compensation are at or above minimum wage. Integrated employment includes supported employment and self-employment as well as microenterprises.
- SEC. 9. Section 4629 of the Welfare and Institutions Code is amended to read:
- 4629. (a) The state shall enter into five-year contracts with regional centers, subject to the annual appropriation of funds by the Legislature.
- (b) The contracts shall include a provision requiring each regional center to render services in accordance with applicable provision provisions of state laws and regulations.
- (c) (1) The contracts shall include annual performance objectives that shall do both of the following:
- (A) Be specific, measurable, and designed to do all of the following:
  - (i) Assist consumers to achieve life quality outcomes.
  - (ii) Achieve meaningful progress above the current baselines.
- (iii) Develop services and supports identified as necessary to meet identified needs.
- (B) Be developed through a public process as described in the department's guidelines that includes, but is not limited to, all of the following:
- (i) Providing information, in an understandable form, to the community about regional center services and supports, including budget information and baseline data on services and supports and regional center operations.
- (ii) Conducting a public meeting where participants can provide input on performance objectives and using focus groups or surveys to collect information from the community.

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(iii) Circulating a draft of the performance objectives to the community for input prior to presentation at a regional center board meeting where additional public input will be taken and considered before adoption of the objectives.

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- (2) In addition to the performance objectives developed pursuant to this section, the department may specify in the performance contract additional areas of service and support that require development or enhancement by the regional center. In determining those areas, the department shall consider public comments from individuals and organizations within the regional center catchment area, the distribution of services and supports within the regional center catchment area, and review how the availability of services and supports in the regional area center catchment area compares with other regional center catchment areas.
- (d) Each contract with a regional center shall specify steps to be taken to ensure contract compliance, including, but not limited to, all of the following:
- (1) Incentives that encourage regional centers to meet or exceed performance standards.
- (2) Levels of probationary status for regional centers that do not meet, or are at risk of not meeting, performance standards. The department shall require that corrective action be taken by any regional center which is placed on probation. Corrective action may include, but is not limited to, mandated consultation with designated representatives of the Association of Regional Center Agencies or a management team designated by the department, or both. The department shall establish the specific timeline for the implementation of corrective action and monitor implementation. When a regional center is placed on probation, the department shall provide the appropriate area board with a copy of the correction plan, timeline, and any other action taken by the department relating to the probationary status of the regional center.
- (e) In order to evaluate the regional center's compliance with its contract performance objectives and legal obligations related to those objectives, the department shall do—both *all* of the following:
- (1) Annually assess each regional center's achievement of its previous year's objectives and make the assessment, including baseline data and performance objectives of the individual regional

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centers, available to the public. The department may make a special commendation of the regional centers that have best engaged the community in the development of contract performance objectives and have made the most meaningful progress in meeting or exceeding contract performance objectives.

- (2) Monitor the activities of the regional center to ensure compliance with the provisions of its contracts, including, but not limited to, reviewing all of the following:
- (A) The regional center's public process for compliance with the procedures sets procedure set forth in paragraph (2) of subdivision (c).
- (B) Each regional center's performance objectives for compliance with the criteria set forth in paragraph (1) of subdivision (c).
- (C) Any public comments on regional center performance objectives sent to the department or to the regional centers, and soliciting public input on the public process and final performance standards.
- (3) Measure the availability and annual increase in each regional center catchment area in the provision of supported living services for individuals with differing levels of need, including those who need 24-hour support.
- (4) As part of the Employment First Policy, measure the increase in integrated gainful employment and integrated community participation.
- (f) The renewal of each contract shall be contingent upon compliance with the contract including, but not limited to, the performance objectives, as determined through the department's evaluation.
- SEC. 10. Section 4646 of the Welfare and Institutions Code is amended to read:
- 4646. (a) It is the intent of the Legislature to ensure that the individual program plan and provision of services and supports by the regional center system is centered on the individual and the family of the individual with developmental disabilities and takes into account the needs and preferences of the individual and the family, where appropriate, as well as promoting community integration, independent, productive, and normal lives, and stable and healthy environments. It is the further intent of the Legislature to ensure that the provision of services to consumers and their

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families be effective in meeting the goals stated in the individual program plan, reflect the preferences and choices of the consumer, and reflect the cost-effective use of public resources.

- (b) The individual program plan is developed through a process of individualized needs determination. The individual with developmental disabilities and, where appropriate, his or her parents, legal guardian or conservator, or authorized representative, shall have the opportunity to actively participate in the development of the plan.
- (c) An individual program plan shall be developed for any person who, following intake and assessment, is found to be eligible for regional center services. These plans shall be completed within 60 days of the completion of the assessment. At the time of intake and at each individual program plan meeting, the regional center shall inform the consumer and, where appropriate, his or her parents, legal guardian or conservator, or authorized representative, of the services available through the local area board and the protection and advocacy agency designated by the Governor pursuant to federal law, and shall provide the address and telephone numbers of those agencies.
- (d) Individual program plans shall be prepared jointly by the planning team. Decisions concerning the consumer's goals, objectives, and services and supports that will be included in the consumer's individual program plan and purchased by the regional center or obtained from generic agencies shall be made by agreement between the regional center representative and the consumer or, where appropriate, the parents, legal guardian, conservator, or authorized representative at the program plan meeting.
- (e) Regional centers shall comply with the request of a consumer, or where appropriate, the request of his or her parents, legal guardian, or conservator, that a designated representative receive written notice of all meetings to develop or revise his or her individual program plan and of all notices sent to the consumer pursuant to Section 4710. The designated representative may be a parent or family member.
- (f) If a final agreement regarding the services and supports to be provided to the consumer cannot be reached at a program plan meeting, then a subsequent program plan meeting shall be convened within 15 days, or later at the request of the consumer

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or, when appropriate, the parents, legal guardian, conservator, or authorized representative or when agreed to by the planning team. Additional program plan meetings may be held with the agreement of the regional center representative and the consumer or, where appropriate, the parents, legal guardian, conservator, or authorized representative.

- (g) An authorized representative of the regional center and the consumer or, where appropriate, his or her parents, legal guardian, or conservator, shall sign the individual program plan prior to its implementation. If the consumer or, where appropriate, his or her parents, legal guardian, or conservator, does not agree with all components of the plan, they may indicate that disagreement on the plan. Disagreement with specific plan components shall not prohibit the implementation of services and supports agreed to by the consumer or, where appropriate, his or her parents, legal guardian, or conservator. If the consumer or, where appropriate, his or her parents, legal guardian, or conservator, does not agree with the plan in whole or in part, he or she shall be sent written notice of the fair hearing rights, as required by Section 4701.
- (h) A copy of the individual program plan shall be provided to the consumer and, where appropriate, to his or her parents, legal guardian, or conservator, and to the consumer's service provider within 15 calendar days of the individual program plan meeting. At the request of the consumer or when the consumer's primary language is not English, the program plan shall be provided in the consumer's primary language within 30 calendar days after the program plan meeting.
- SEC. 11. Section 4646.5 of the Welfare and Institutions Code is amended to read:
- 4646.5. (a) The planning process for the individual program plan described in Section 4646 shall include all of the following:
- (1) Gathering information and conducting assessments to determine the life goals, capabilities and strengths, preferences, barriers, and concerns or problems of the person with developmental disabilities. For children with developmental disabilities, this process should include a review of the strengths, preferences, and needs of the child and the family unit as a whole. Assessments shall be conducted by qualified individuals and performed in natural environments whenever possible. Information shall be taken from the consumer, his or her parents and other

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family members, his or her friends, advocates, providers of services and supports, and other agencies. The assessment process shall reflect awareness of, and sensitivity to, the lifestyle and cultural background of the consumer and the family.

- (2) The regional center shall, prior to and at the individual program plan meeting, provide all consumers, including those residing in developmental centers, and, where appropriate, the parents, legal guardian, conservator, or authorized representative, information about integrated living, integrated gainful employment, community participation, and maintaining benefits using methods, formats, and languages that will best enable the consumer to make decisions. Methods may include, but are not limited to, visual information, visiting locations, or peer advocates.
- (3) The planning team shall start with the assumption that individuals with developmental disabilities can, and would prefer to, live, work, and participate in inclusive, integrated settings and are entitled to the supports and services necessary to do so. The use of segregated disability-only services shall be considered only after consideration of all integrated supports and services for which the individual may be eligible. Individual program planning teams shall identify the least restrictive setting for each individual without regard to whether those services and supports are currently available. If integrated services and supports are not currently available, the team shall identify the specific current barriers to more integrated services and the steps that will be taken to remove or address those barriers. The team shall document that age-appropriate options for integrated living, community participation, integrated gainful employment, education, public benefits, and transition services were discussed with the consumer. (2)
- (4) A statement of goals, based on the needs, preferences, and life choices of the individual with developmental disabilities, and a statement of specific, time-limited objectives for implementing the person's goals and addressing his or her needs. These objectives shall be stated in terms that allow measurement of progress or monitoring of service delivery. These goals and objectives should maximize opportunities for the consumer to develop relationships, be part of community life in the areas of community participation, housing, work, school, and leisure, increase control over his or her

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life, acquire increasingly positive roles in community life, and
develop competencies to help accomplish these goals.

(3)

- (5) When developing individual program plans for children, regional centers shall be guided by the principles, process, and services and support parameters set forth in Section 4685.
- (6) In order to support the Employment First Policy, the individual program plan for all consumers over 16 years of age shall include documentation that all of the following integrated community options have been presented, discussed, and evaluated:
- (A) Integrated gainful employment, including, but not limited to, microenterprise, individually owned businesses, and supported and customized employment.
- (B) Integrated social, civic, recreational, and community participation activities.
  - (C) Integrated volunteer opportunities.
  - (D) Postsecondary education.
- (E) Inclusive community living options, including, but not limited to, supported living arrangements provided pursuant to Section 4689.
- (7) For all consumers over 22 years of age, the individual program plan shall contain an employment and career development section that includes current status and future goals and objectives regarding all of the following:
  - (A) Integrated gainful employment and postsecondary education.
- (B) Eligibility for, and maintenance of, public benefits in areas, including, but not limited to, Supplemental Security Income, Social Security, Medicaid, In-Home Support Services, Workability, Ticket to Work, housing, financial aid for postsecondary education, microenterprise development, and all other programs affecting the benefits of persons with developmental disabilities.
  - (C) The effects of employment on benefits.
  - (D) Transportation services and supports.
  - (E) Asset development and management.
- (8) For all consumers between 16 and 22 years of age, the individual program plan shall contain a transition section that includes transition services developed pursuant to Chapter 26.5
- 38 (commencing with Section 7570) of Division 7 of Title 1 of the
- 39 Government Code and plans for the consumer's future transition
- 40 to community integrated adult services.

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(9) A schedule of the type and amount of services and supports to be purchased by the regional center or obtained from generic agencies or other resources in order to achieve the individual program plan goals and objectives, and identification of the provider or providers of service responsible for attaining each objective, including, but not limited to, vendors, contracted providers, generic service agencies, and natural supports. The plan shall specify the approximate scheduled start date for services and supports and shall contain timelines for actions necessary to begin services and supports, including generic services.

12 (5)

(10) When agreed to by the consumer, the parents or legally appointed guardian of a minor consumer, or the legally appointed conservator of an adult consumer, or the authorized representative, including those appointed pursuant to subdivision (d) of Section 4548 and subdivision (e) of Section 4705, a review of the general health status of the adult or child including a medical, dental, and mental health needs shall be conducted. This review shall include a discussion of current medications, any observed side effects, and the date of last review of the medication. Service providers shall cooperate with the planning team to provide any information necessary to complete the health status review. If any concerns are noted during the review, referrals shall be made to regional center clinicians or to the consumer's physician, as appropriate. Documentation of health status and referrals shall be made in the consumer's record by the service coordinator.

(6)

- (11) A schedule of regular periodic review and reevaluation to ascertain that planned services have been provided, that objectives have been fulfilled within the times specified, and that consumers and families are satisfied with the individual program plan and its implementation.
- (b) For all active cases, individual program plans shall be reviewed and modified by the planning team, through the process described in Section 4646, as necessary, in response to the person's achievement or changing needs, and no less often than once every three years. If the consumer or, where appropriate, the consumer's parents, legal guardian, or conservator requests an individual

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program plan review, the individual program shall be reviewed within 30 days after the request is submitted.

- (c) (1) The department, with the participation of representatives of a statewide consumer organization, the Association of Regional Center Agencies, an organized labor organization representing service coordination staff, and the Organization of Area Boards the State Council on Developmental Disabilities, and the State Protection and Advocacy Agency shall prepare review and update the current training material and a standard format and instructions for the preparation of individual program plans, which embodies an approach centered on the person and family and includes, but is not limited to, information about integrated education, community participation, integrated gainful employment, inclusive living arrangements, self-directed services, public benefits, and transition services.
- (2) Each regional center shall use the training materials and format prepared by the department pursuant to paragraph (1).
- (3) The department shall biennially review a random sample of individual program plans and conduct other activities necessary to evaluate the person-centered individual program plan process as conducted for consumers of all ages and who reside in all types of living arrangements, including those who are and are not served under the home- and community-based waiver, at each regional center and developmental center to assure ensure that these plans are being developed and modified in compliance with Section 4646 and this section-, including, but not limited to, determining all of the following:
- (A) If consumers are receiving services and supports in the least restrictive, most inclusive settings and, for those who could live, work, or recreate in less restrictive settings, whether detailed plans, including timelines, for moving the consumer are included.
- (B) If person-centered planning is taking place and consumers and families have and understand the information they need to make informed choices.
- (C) If individual program plans are being developed by appropriately constituted planning teams, based upon individual needs and preferences, and whether the plans reflect the ethnic, cultural, and language background of the consumer.
- (D) If individual program plans reflect not only the services and supports necessary to meet consumers' current needs but also

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include the identification of barriers to living and participating in less restrictive and more inclusive settings, as well as the steps that will be taken to address or remove those barriers.

- (E) If individual program plan procedures are being followed, including, but not limited to, timely conduct upon request, the timely issuance of notices of action in appropriate languages, and the provision of completed plans to consumers in a timely manner.
- (F) If services and supports agreed to at an individual program plan meeting are being provided in a timely manner consistent with paragraph (4) of subdivision (a) of Section 4646.5, including scheduled start dates and timelines for necessary actions.
- (4) Individual program plan teams shall document, and regional centers and developmental centers shall collect and report in the aggregate, information on barriers to alternative, less restrictive services and supports for individual consumers, including, but not limited to, insufficient availability of types of services or providers, the need to develop new service models, licensing barriers, and community opposition. The information on unmet needs and gaps in the community service system should be utilized by the department and regularly reported to the Legislature for budget planning and consideration of the need for further legislative action to enhance the availability of community services and supports.
- SEC. 12. Section 4689 of the Welfare and Institutions Code is amended to read:
- 4689. Consistent with state and federal law, the Legislature places a high priority on providing opportunities for adults with developmental disabilities, regardless of the degree of disability, to live in homes that they own or lease with support available as often and for as long as it is needed, when that is the preferred objective in the individual program plan. In order to provide opportunities for adults to live in their own homes, the following procedures shall be adopted:
- (a) The department and regional centers shall ensure that supported living arrangements adhere to the following principles:
- (1) Consumers shall be supported in living arrangements which are typical of those in which persons without disabilities reside.
- (2) The services or supports that a consumer receives shall change as his or her needs change without the consumer having to move elsewhere.

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(3) The consumer's preference shall guide decisions concerning where and with whom he or she lives.

- (4) Consumers shall have control over the environment within their own home.
- (5) The purpose of furnishing services and supports to a consumer shall be to assist that individual to exercise choice in his or her life while building critical and durable relationships with other individuals.
- (6) The services or supports shall be flexible and tailored to a consumer's needs and preferences.
- (7) Services and supports are most effective when furnished where a person lives and within the context of his or her day-to-day activities
- (8) Consumers shall not be excluded from supported living arrangements based solely on the nature and severity of their disabilities.
- (b) Regional centers may contract with agencies or individuals to assist consumers in securing their own homes and to provide consumers with the supports needed to live in their own homes.
- (c) The range of supported living services and supports available include, but are not limited to, assessment of consumer needs; assistance in finding, modifying and maintaining a home; facilitating circles of support to encourage the development of unpaid and natural supports in the community; advocacy and self-advocacy facilitation; development of employment goals; social, behavioral, and daily living skills training and support; development and provision of 24-hour emergency response systems; securing and maintaining adaptive equipment and supplies; recruiting, training, and hiring individuals to provide personal care and other assistance, including in-home supportive services workers, paid neighbors, and paid roommates; providing respite and emergency relief for personal care attendants; and facilitating community participation. Assessment of consumer needs may begin before 18 years of age to enable the consumer to move to his or her own home when he or she reaches 18 years of age.
- (d) Regional centers shall provide information and education to consumers and their families about supported living principles and services.

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(e) Regional centers shall monitor and ensure the quality of services and supports provided to individuals living in homes that they own or lease. Monitoring shall take into account all of the following:

(1) Adherence to the principles set forth in this section.

- (2) Whether the services and supports outlined in the consumer's individual program plan are congruent with the choices and needs of the individual.
- (3) Whether services and supports described in the consumer's individual program plan are being delivered.
  - (4) Whether services and supports are having the desired effects.
- (5) Whether the consumer is satisfied with the services and supports.
- (f) All adults with developmental disabilities, who want to live in supported living arrangements, including individuals who need 24-hour support, shall have access to supported living services and supports. Therefore, the department shall take all necessary actions to ensure that supported living services and supports are available equitably across the state in the different regional center catchment areas.
- SEC. 13. Chapter 14 (commencing with Section 4868) is added to Division 4.5 of the Welfare and Institutions Code, to read:

#### CHAPTER 14. EMPLOYMENT FIRST POLICY

### Article 1. General Provisions

4868. (a) This chapter shall be known, and may be cited, as the Employment First Policy.

- (b) The intended benefit of this policy is a significant increase in the number of Californians with developmental disabilities who are productive, tax paying, more self-sufficient, and more involved in their communities.
  - 4868.5. The Legislature finds and declares all of the following:
- (a) Transition aged youth, 16 to 22 years of age, inclusive, and working aged adults, 22 to 64 years of age, inclusive, who have developmental disabilities have greater opportunities for independence, productivity, and integration when they have integrated gainful employment.

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 (b) Working age Californians who have developmental disabilities have an unemployment rate as high as 80 percent and traditional approaches to increase employment rates through training and employer outreach have not been sufficient to solve this problem. While civil rights laws now prohibit it, discrimination based on disability continues to limit equal access to community-based employment.

- (c) State and federal funding continues to favor facility-based, nonintegrated employment and related services. This results in many consumers residing in living arrangements that do not provide them the opportunity to work flexible schedules and thus hinders access to integrated gainful employment.
- (d) Increasing numbers of transition-aged youth and adults with developmental disabilities are requesting and choosing customized, integrated employment over traditional day programs. Research regarding funding for integrated employment services shows benefits such as increased earnings, increased payroll and other taxes paid, and reduced transfer payments, exceed the costs of offering integrated programs.
- (e) In addition to increased earnings and taxes paid, another study of employment services for Californians with disabilities found a per person per year reduction in the use of publicly funded services.
- (f) A majority of individuals with significant disabilities want to work, can work and earn more, and can do so without jeopardizing their medical benefits.
- 4869. The Employment First Policy for people with developmental disabilities shall include all of the following:
- (a) Services and supports to pursue and maintain gainful employment at, or above, minimum wage, in integrated settings in the community, shall be the primary option for working age adults.
- (b) All transition age young adults, 16 to 22 years of age, inclusive, with developmental disabilities shall receive the flexible, individualized services and supports they need to be prepared to fully participate in adult life including employment, postsecondary education, and community living.
- (c) All working age adults, 22 to 64 years of age, inclusive, with developmental disabilities, who want to work should work and

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have the flexible, individualized services and supports they need to be an integral part of the state's workforce.

- (d) The information needed to make informed decisions about integrated gainful employment, community participation, work, and health benefits shall be provided to individuals and families before individual program plan meetings.
- (e) Individual program plan teams shall help individuals and their families plan for integrated gainful employment, postsecondary education, and community living and participation.
- (f) Incentives shall be provided for employers, providers, and communities to support people with developmental disabilities to expand their opportunities for integrated employment.
- (g) Community education shall be encouraged to promote the message that people with developmental disabilities are good friends, neighbors, residents, and fellow workers.
- (h) People who directly support the employment opportunities for individuals with developmental disabilities who are well trained and make a living wage with benefits.
- (i) Innovative services and supports which are supported and replicated.
- (j) The state shall measure progress in moving towards increased integrated gainful employment and community participation for people with developmental disabilities.
- (k) Specific goals shall be developed that target the need to increase the number of individuals with developmental disabilities placed in integrated gainful employment, as well as average hours worked and average hourly wages.

# Article 2. Planning for Employment and Postsecondary Education

4870. Each individual program plan shall include an employment and career development section.

4871. No later than June 30, 2009, each regional center shall employ at least one benefits planner. The benefits planner shall have expertise that includes, but is not limited to, Supplemental Security Income, Social Security, Medicaid, Workability, Ticket to Work, and all other programs that affect the benefits of persons with developmental disabilities.

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4872. (a) The department shall convene a task force of stakeholders that includes individuals with developmental disabilities, family members of individuals with developmental disabilities, advocates, and service providers.

- (b) The task force shall do all of the following:
- (1) Review, by January 1, 2010, and on a triannual basis thereafter, all department regulations and vendor codes to determine whether they support or hinder the Employment First Policy and suggest revisions, as needed, to ensure the flexibility needed for consumers to participate in integrated gainful employment, including self-employment and microenterprises, and to enroll in postsecondary education.
- (2) Identify by January 1, 2010, payment incentives and funding strategies that encourage existing provider agencies to offer a broad, flexible array of integrated employment supports.
- (3) Review, by January 1, 2010, the approaches used by other states to increase the availability and flexibility of employment funding by blending or braiding funds from multiple sources to support both integrated gainful employment and school-to-work transition services, and recommend legislative initiatives that will support the use of blended funding.
- (4) Identify, by January 1, 2010, other barriers to achievement of the Employment First Policy and how these barriers can be removed.

# Article 3. System Transformation

4873. (a) In order to report on the effectiveness of the Employment First Policy, by no later than July 1, 2009, the state council shall establish a consumer advisory committee.

- (b) The consumer advisory committee shall do all of the following:
- (1) Gather public input regarding progress on the Employment First Policy.
- (2) Provide a report to the Legislature and the Governor, no later than January 1, 2010, and annually thereafter, that summarizes progress, barriers, and recommendations for continued implementation.
- 4874. (a) In order to support the implementation of the Employment First Policy through the development and expansion

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of new, innovative, and integrated gainful employment services and supports, the department, in cooperation with the state council, shall establish the Employment First Policy Startup Fund to be used, upon appropriation by the Legislature, to implement this chapter commencing with the 2009–10 fiscal year and available through the 2013–14 fiscal year.

- (b) The Employment First Policy Startup Fund shall contain moneys appropriated to it by the Legislature, and may also contain moneys provided by the department from the Program Development Fund provided for pursuant to Section 4677.
- (c) Startup funds shall be available in the form of grants to current facility-based services interested in transitioning to integrated gainful employment, as well as to regional training cooperatives that offer technical assistance to integrated gainful employment service providers.
- (d) As used in this section, "innovative and integrated gainful employment services and supports" means new or current services and supports and include, but are not limited to, those listed in paragraphs (1) to (5), inclusive, of subdivision (a) of Section 4678 as well as microenterprises, self-help resource centers, and postsecondary education programs.
- 4875. (a) In collaboration with the department, the state council shall establish a business advisory committee composed of representatives of large and small and public and private employers who have a record of hiring individuals with disabilities.
- (b) The business advisory committee shall do all of the following:
- (1) Review programs funded by startup grants pursuant to Section 4874, including, but not limited to, the number of individuals with developmental disabilities hired, wages paid, and hours worked.
- (2) Develop recommendations for funding priorities in the upcoming grant cycle.

## Article 4. Measuring Progress

4876. (a) The department shall, no later than June 30, 2010, and at the end of each fiscal year thereafter, report, by regional center as well as statewide aggregate, information on consumers including, but not limited to all of the following:

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(1) The number and percentage of adults 22 years of age and older sorted by type of employment, day program, or postsecondary education.

- (2) The average hours worked per week and average hourly wage.
- (3) The number and percentage of individuals below, at, and above state minimum wage.
- (b) The department shall make the resulting data available to the Legislature, the Governor, and the public, upon request, and shall use the data to do all of the following:
- (1) Evaluate regional center performance in increasing the number and percentage of consumers accessing integrated gainful employment and postsecondary education.
- (2) Develop a report on the impact of the elimination of the subminimum wage to be completed and submitted to the Legislature and the Governor not later than December 31, 2009.
- 4877. The State Department of Developmental Services, the Department of Rehabilitation, the State Department of Education, the Employment Development Department, the Governor's Committee on Employment, and representatives of public and private employers shall meet in an advisory capacity on an annual basis starting no later than June 30, 2009, to do all of the following:
- (a) Establish statewide transition protocols that will enhance interagency coordination and eliminate the barriers established by separate funding methodologies.
- (b) Establish a baseline employment rate, average hourly wage, and average hours worked per week, for individuals with developmental disabilities.
- (c) Develop an interdepartmental, three-year, strategic plan for increasing employment for individuals with developmental disabilities.
- (d) Develop annual, aggregate goals for increasing the employment rate, average hourly wages, and average hours worked per week.
  - (e) Revise the strategic plan, as needed.
- (f) Report progress on the plan to the Legislature and the consumer advisory committee of the state council.
- (g) Report progress on the plan to the Legislature and to the consumer advisory committee of the state council established pursuant to this chapter.

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1 4878. The department and the state council shall develop and implement a plan to evaluate the impact of new services by identifying and tracking appropriate outcome measures.